## Phase 1 Cultural Heritage Impact Assessment:

THE PROPOSED DEVELOPMENT ON PORTION 268 OF THE FARM RIETFONTEIN 189-IQ,
MULDERSDRIFT REGION, MOGALE CITY LOCAL MUNICIPALITY,
GAUTENG PROVINCE

## Prepared for:

Seedcracker Environmental Consulting: Ms S Cliff

• Address: PO Box 12460, Clubview, 0014; Tel: 082 626 4117; E-mail: stephweb@mweb.co.za

## Prepared by:

J A van Schalkwyk (D Litt et Phil),

- Heritage Consultant: ASAPA Registration No.: 164 Principal Investigator: Iron Age, Colonial Period, Industrial Heritage
- Postal Address: 62 Coetzer Avenue, Monument Park, 0181; Tel: 076 790 6777; E-mail: jvschalkwyk@mweb.co.za

Report No: 2023/JvS/066

Status: Draft

Date: December 2023

Revision:

• Date:

## Submission of the report:

It remains the responsibility of the client to submit the report to the South African Heritage Resources Agency (SAHRA) or relevant Provincial Heritage Resources Agency (PHRA) by means of the online SAHRIS System.















#### Disclaimer:

No warranty guarantee or representation, whether expressed and/or implied is made by J A van Schalkwyk, heritage consultant, to the absolute correctness, sufficiency and/or applicability of any information contained in this report, or any part of it.

J A van Schalkwyk, heritage consultant, assume no liability or responsibility for any loss, actions, claims, demands, losses, liabilities, costs, damages and expenses arising from or in connection with services rendered, directly or indirectly by J A van Schalkwyk, heritage consultant, and using the information contained in this document.

#### Copyright:

This report is intended solely for the use of the individual or entity to whom it is addressed or to whom it was meant to be addressed. It is provided solely for the purposes set out in it and may not, in whole or in part, be used for any other purpose or by a third party, without the author's prior written consent.

The copyright of all photographs used for background illustration purposes, unless otherwise indicated, is retained by the author of this report. This does not include photographs that resulted as a direct consequence of the project, which is available for use by the client, but only in relation to the current project.

## Specialist competency:

Johan A van Schalkwyk, D Litt et Phil, heritage consultant, has been working in the field of heritage management for more than 40 years. Originally based at the National Museum of Cultural History, Pretoria, he has actively done research in the fields of anthropology, archaeology, museology, tourism and impact assessment. This work was done in Limpopo Province, Gauteng, Mpumalanga, North West Province, Eastern Cape Province, Northern Cape Province, Botswana, Zimbabwe, Malawi, Lesotho and Swaziland. Based on this work, he has curated various exhibitions at different museums and has published more than 70 papers, most in scientifically accredited journals. During this period, he has done more than 2000 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.

J A van Schalkwyk Heritage Consultant December 2023

Behalling h















#### SPECIALIST DECLARATION

I, J A van Schalkwyk, as the appointed independent specialist, in terms of the 2014 EIA Regulations (as amended), hereby declare that I:

- I act as the independent specialist in this application;
- I perform the work relating to the application in an objective manner, even if this results in views and findings that are not favourable to the applicant;
- regard the information contained in this report as it relates to my specialist input/study to be true and correct, and do not have and will not have any financial interest in the undertaking of the activity, other than remuneration for work performed in terms of the NEMA, the Environmental Impact Assessment Regulations, 2014 (as amended) and any specific environmental management Act;
- I declare that there are no circumstances that may compromise my objectivity in performing such work:
- I have expertise in conducting the specialist report relevant to this application, including knowledge
  of the Act, Regulations and any guidelines that have relevance to the proposed activity;
- I will comply with the Act, Regulations and all other applicable legislation;
- I have no, and will not engage in, conflicting interests in the undertaking of the activity;
- I have no vested interest in the proposed activity proceeding;
- I undertake to disclose to the applicant and the competent authority all material information in my possession that reasonably has or may have the potential of influencing any decision to be taken with respect to the application by the competent authority; and the objectivity of any report, plan or document to be prepared by myself for submission to the competent authority;
- I have ensured that information containing all relevant facts in respect of the specialist input/study was distributed or made available to interested and affected parties and the public and that participation by interested and affected parties was facilitated in such a manner that all interested and affected parties were provided with a reasonable opportunity to participate and to provide comments on the specialist input/study;
- I have ensured that the comments of all interested and affected parties on the specialist input/study were considered, recorded and submitted to the competent authority in respect of the application;
- all the particulars furnished by me in this specialist input/study are true and correct; and
- I realise that a false declaration is an offence in terms of regulation 48 and is punishable in terms of section 24F of the Act.

Signature of the specialist

Kehalknyk

J A van Schalkwyk December 2023

#### **EXECUTIVE SUMMARY**

# Phase 1 Cultural Heritage Impact Assessment: THE PROPOSED DEVELOPMENT ON PORTION 268 OF THE FARM RIETFONTEIN 189-IQ, MULDERSDRIFT REGION, MOGALE CITY LOCAL MUNICIPALITY, GAUTENG PROVINCE

Seedcracker Environmental Consulting was appointed as the independent Environmental Assessment Practitioner (EAP) to undertake the environmental assessment process for the proposed residential development on Portion 268 of the farm Rietfontein 189-IQ, Mogale City Local Municipality, Gauteng Province.

In accordance with Section 38 of the NHRA, an independent heritage consultant was appointed by *Seedcracker Environmental Consulting* to conduct a cultural heritage assessment to determine if the development would have an impact on any sites, features or objects of cultural heritage significance.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The investigation consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that also included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

## **Verified Site Sensitivity**

Based on the screening assessment, i.e. a review of available databases, publications, as well as available heritage impact assessments done for the purpose of developments in the region, and supported by the field survey, it was determined that although some important and significant heritage sites occur in the larger region, the project area, is located in an area with a low presence of heritage sites and features.

- Stone Age tools, dating to the ESA and MSA occur as low-density scatters on some outcrops and river banks in the larger region.
- Sites dating to the Late Iron Age, early historic time, are scattered across the region, located especially in the various hills both sides of the Vaal River. Engravings depicting this type of settlements are found to the south, in the Free State.
- Other sites, dating to historic times, are former homesteads, which have all being demolished, with, in most cases, only burials in close proximity to indicate their presence.

## Identified sites

10.3.1: A house that seems to be well maintained and based on its style, probably dates to the 1950s. Unfortunately, access to this structure could not be gained. Its character can be described as rural and rustic.

10.3.2: A single grave located close to the boundary fence. Unfortunately, it is located behind a razor wire fence and no detail about it could not be determined.

## Impact assessment and proposed mitigation measures

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

Site No.	Site type	NHRA category	Field rating	Impact rating: Before/After mitigation	
10.3.1 Built structure Section 34		Generally protected 4A: High significance	Medium (36)		
				Low (14)	
Militarian, 2) Auchardarial in estimation, Militarian is to decrease the site (see and shotomers) and analyse are					

**Mitigation**: 2) Archaeological investigation: Mitigation is to document the sites (map and photograph) and analyse any recovered material to acceptable standards.

Site No.	Site type	NHRA category	Field rating	Impact rating: Before/After mitigation		
10.3.2 Burial site		Section 36	Generally protected 4A: High significance	Medium (36)		
				Low (14)		
Mitigation: (1) Avoidance/Preserve: It is proposed that the individual grave is retained in position and that it is formally fenced off.						

## Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report. For this proposed project, the assessment has determined that sites, features or objects of heritage significance occur in the project area, therefore permits would be required.

 If heritage features are identified during construction activities, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

#### Reasoned opinion as to whether the proposed activity should be authorised:

• From a heritage point of view, it is recommended that the Proposed Project be allowed to continue on acceptance of the mitigation measures presented above and the conditions proposed below.

## Conditions for inclusion in the environmental authorisation:

- The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that project area has an insignificant to zero sensitivity of fossil remains to be found and therefore no palaeontological studies are required.
- Should archaeological sites or graves be exposed during construction activities, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made. The appropriate steps to take are indicated in Section 9 of the report, as well as in the Management Plan: Burial Grounds and Graves, with reference to general heritage sites, in the Addendum, Section 15.4.

J A van Schalkwyk Heritage Consultant December 2023

# **TECHNICAL SUMMARY**

Project description		
Description	Development of a housing estate	
Project name	Rietfontein 189IQ, Portion 268	

Applicant	
n/a	

Environmental assessment practitioner			
Ms S Cliff			
Seedcracker Environmental Consulting			

Property details						
Province	Gaute	eng				
Magisterial district	Kruge	ersdorp				
Local Municipality	Moga	le City				
Topo-cadastral map	2627BB					
Farm name	Rietfo	Rietfontein 189IQ				
Closest town Krugersdorp						
Coordinates	Centre point (approximate)					
	No Latitude Longitude No Latitude Long				Longitude	
	1 S 26,03288 E 27,85973					

Development criteria in terms of Section 38(1) of the NHR Act	Yes/No
Construction of road, wall, power line, pipeline, canal or other linear form of development	No
or barrier exceeding 300m in length	
Construction of bridge or similar structure exceeding 50m in length	No
Development exceeding 5000 sq m	Yes
Development involving three or more existing erven or subdivisions	No
Development involving three or more erven or divisions that have been consolidated	No
within past five years	
Rezoning of site exceeding 10 000 sq m	No
Any other development category, public open space, squares, parks, recreation grounds	No

Land use				
Previous land use	Farming			
Current land use	Farming			

# **TABLE OF CONTENTS**

	Page
SPECIALIST DECLARATION	ll
EXECUTIVE SUMMARY	
TECHNICAL SUMMARY	
GLOSSARY OF TERMS AND ABBREVIATIONS	
COMPLIANCE WITH APPENDIX 6 OF THE 2014 EIA REGULATIONS (AS AMENDED)	
1. INTRODUCTION	
2. LEGISLATIVE FRAMEWORK	
3. HERITAGE RESOURCES	
4. PROJECT DESCRIPTION	
5. STUDY APPROACH AND METHODOLOGY	
6. ASSUMPTIONS AND LIMITATIONS	
7. RESPONSES TO INTERESTED AND AFFECTED PARTIES	
8. DESCRIPTION OF THE AFFECTED ENVIRONMENT	
9. PROJECT AREA SENSITIVITY	
10. SITE SURVEY RESULTS	
11. IMPACT ASSESSMENT RATINGS AND MITIGATION MEASURES	
12. MANAGEMENT MEASURES	
13. CONCLUSIONS AND RECOMMENDATIONS	
14. REFERENCES	
15. ADDENDUM	
1. Indemnity and terms of use of this report	
2. Assessing the significance of heritage resources and potential impacts	
3. Mitigation measures	
4. Management Plan: Burial Grounds and Graves, with reference to general heritage sites	
5. Chance find procedures	
6. Curriculum vitae	50
LIST OF FIGURES	
	Page
Figure 1. Location of the project area (arrowed) in regional context	15
Figure 2. Map indicating the track log of the site visit	
Figure 3. Views over the project area	18
Figure 4. The Palaeontological sensitivity of the project area	19
Figure 5. The Australopithecus skeleton, named Little Foot, while being excavated at Sterkfonteir	
Caves	20
Figure 6. Entrance to the old Blaauwbank gold mine	21
Figure 7. Sensitivity for archaeological/cultural heritage as per the DFFE National Screening Tool .	22
Figure 8. Location of known heritage sites and features in relation to the project area	23
Figure 9. Location of the project area on the 1943 version of the topographic map	25
Figure 10. Location of the project area on the 1977 version of the topographic map	
Figure 11. Aerial view of the project area dating to 2009	26
Figure 12. Aerial view of the project area dating to 2023	26
Figure 13. Location of heritage sites in the project area	
Figure 14. View of the house	28
Figure 15. View of the grave	

# LIST OF TABLES

	Page
Table 1: Pre-Feasibility Assessment of the project area	23
Table 2: Calculating the impact assessment	29
Table 3A: Construction Phase: Environmental Management Programme for the project	31
Table 3B: Operation Phase: Environmental Management Programme for the project	31

#### **GLOSSARY OF TERMS AND ABBREVIATIONS**

#### **TERMS**

**Bioturbation:** The burrowing by small mammals, insects and termites that disturb archaeological deposits.

**Cumulative impacts:** In relation to an activity, means the past, current and reasonably foreseeable future impact of an activity, considered together with the impact of activities associated with that activity, that in itself may not be significant, but may become significant when added to existing and reasonably foreseeable impacts eventuating from similar or diverse activities.

**Debitage:** Stone chips discarded during the manufacture of stone tools.

**Factory site:** A specialised archaeological site where a specific set of technological activities has taken place – usually used to describe a place where stone tools were made.

Historic Period: Since the arrival of the white settlers - c. AD 1830 - in this part of the country.

Holocene: The most recent time period, which commenced c. 10 000 years ago.

**Iron Age** (also referred to as **Early Farming Communities**): Period covering the last 1800 years, when new people brought a new way of life to southern Africa. They established settled villages, cultivated domestic crops such as sorghum, millet and beans, and herded cattle, sheep and goats. As they produced their own iron tools, archaeologists call this the Iron Age.

 Early Iron Age
 AD 200 - AD 900

 Middle Iron Age
 AD 900 - AD 1300

 Later Iron Age
 AD 1300 - AD 1830

Midden: The accumulated debris resulting from human occupation of a site.

**Mitigation**, means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

**National Estate:** The collective heritage assets of the Nation.

**Pleistocene:** Geological time period of 3 000 000 to 20 000 years ago.

**Stone Age:** The first and longest part of human history is the Stone Age, which began with the appearance of early humans between 3-2 million years ago. Stone Age people were hunters, gatherers and scavengers who did not live in permanently settled communities. Their stone tools preserve well and are found in most places in South Africa and elsewhere.

Early Stone Age 2 500 000 - 250 000 Before Present

Middle Stone Age 250 000 - 40-25 000 BP Later Stone Age 40-25 000 - until c. AD 200

**Tradition:** As used in archaeology, it is a seriated sequence of artefact assemblages, particularly ceramics.

## **ACRONYMS and ABBREVIATIONS**

AD Anno Domini (the year 0)

ASAPA Association of Southern African Professional Archaeologists

BC Before the Birth of Christ (the year 0)
BCE Before the Common Era (the year 0)

BP Before Present (calculated from 1950 when radio-carbon dating was established)

CE Common Era (the year 0)
CRM Cultural Resources Management

CS-G Chief Surveyor-General

DFFE Department of Forestry, Fisheries and the Environment

DMRE Department of Mineral Resources and Energy EAP Environmental Assessment Practitioner

ECO Environmental Control Officer

EIA Early Iron Age

EIA Environmental Impact Assessment
EMPr Environmental Management Programme

ESA Early Stone Age

HIA Heritage Impact Assessment
I & AP's Interested and Affected Parties

ICOMOS International Council on Monuments and Sites

LIA Late Iron Age
LSA Later Stone Age
MIA Middle Iron Age
MSA Middle Stone Age

NASA National Archives of South Africa

NEMA National Environmental Management Act 107 of 1998

NHRA National Heritage Resources Act
PHRA Provincial Heritage Resources Agency
SAHRA South African Heritage Resources Agency

SAHRIS South African Heritage Resources Information System

WUL Water Use Licence

# COMPLIANCE WITH APPENDIX 6 OF THE 2014 EIA REGULATIONS (AS AMENDED)

Requirements of Appendix 6 – GN R982	Addressed in the Specialist Report
I. (1) A specialist report prepared in terms of these Regulations must contain-	
a) details of-	
i. the specialist who prepared the report; and	Front page
ii. the expertise of that specialist to compile a specialist report including a	, ,
curriculum vitae;	Addendum Section 5
b) a declaration that the specialist is independent in a form as may be specified by	
the competent authority;	1 age ii
c) an indication of the scope of, and the purpose for which, the report was	Section 1
prepared;	Section 1
(cA) an indication of the quality and age of base data used for the specialist report;	Coation 4
	Section 4
(cB) a description of existing impacts on the site, cumulative impacts of the proposed	Section 7
development and levels of acceptable change;	
d) the duration, date and season of the site investigation and the relevance of the	Section 4
season to the outcome of the assessment;	
e) a description of the methodology adopted in preparing the report or carrying	Section 4
out the specialised process inclusive of equipment and modelling used;	
f) details of an assessment of the specific identified sensitivity of the site related to	Section 7;
the proposed activity or activities and its associated structures and	Figure 15
infrastructure, inclusive of a site plan identifying site alternatives;	
g) an identification of any areas to be avoided, including buffers;	Section 11
h) a map superimposing the activity including the associated structures and	Figure 15
infrastructure on the environmental sensitivities of the site including areas to be	
avoided, including buffers;	
i) a description of any assumptions made and any uncertainties or gaps in	Section 2
knowledge;	
j) a description of the findings and potential implications of such findings on the	Section 7
impact of the proposed activity or activities;	30000011
k) any mitigation measures for inclusion in the EMPr;	Section 11.2
any conditions for inclusion in the environmental authorisation;	Section 13
m) any monitoring requirements for inclusion in the EMPr or environmental	Section 9
authorisation;	
n) a reasoned opinion-	C
i. whether the proposed activity, activities or portions thereof should be	Section 13
authorised;	
(iA) regarding the acceptability of the proposed activity or activities; and	
ii. if the opinion is that the proposed activity, activities or portions thereof	
should be authorised, any avoidance, management and mitigation	
measures that should be included in the EMPr, and where applicable, the	
closure plan;	
o) a description of any consultation process that was undertaken during the course	-
of preparing the specialist report;	
p) a summary and copies of any comments received during any consultation	-
process and where applicable all responses thereto; and	
q) any other information requested by the competent authority.	-
2) Where a government notice by the Minister provides for any protocol or minimum	-
nformation requirement to be applied to a specialist report, the requirements as	
ndicated in such notice will apply.	

# Phase 1 Cultural Heritage Impact Assessment: THE PROPOSED DEVELOPMENT ON PORTION 268 OF THE FARM RIETFONTEIN 189-IQ, MULDERSDRIFT REGION, MOGALE CITY LOCAL MUNICIPALITY, GAUTENG PROVINCE

#### 1. INTRODUCTION

#### 1.1 Background

Seedcracker Environmental Consulting was appointed as the independent Environmental Assessment Practitioner (EAP) to undertake the environmental assessment process for the proposed residential development on Portion 268 of the farm Rietfontein 189-IQ, Mogale City Local Municipality, Gauteng Province.

South Africa's heritage resources, also described as the 'national estate', comprise a wide range of sites, features, objects and beliefs. However, according to Section 27(18) of the National Heritage Resources Act, No. 25 of 1999 (NHRA), no person may destroy, damage, deface, excavate, alter, remove from its original position, subdivide or change the planning status of any heritage site without a permit issued by the heritage resources authority responsible for the protection of such site.

In accordance with Section 38 of the NHRA, an independent heritage consultant was appointed by *Seedcracker Environmental Consulting* to conduct a cultural heritage assessment to determine if the development would have an impact on any sites, features or objects of cultural heritage significance.

This report forms part of the Basic Assessment process as required by the EIA Regulations in terms of the National Environmental Management Act, 1998 (Act No. 107 of 1998) as amended and is intended for submission to the South African Heritage Resources Agency (SAHRA).

## 1.2 Terms and references

The aim of a full heritage impact assessment (HIA) investigation is to provide an informed heritage-related opinion about the proposed development by an appropriate heritage specialist. The objectives are to identify heritage resources (involving site inspections, existing heritage data and additional heritage specialists if necessary); assess their significances; assess alternatives in order to promote heritage conservation issues; and to assess the acceptability of the proposed development from a heritage perspective.

The result of this investigation is a HIA report indicating the presence/ absence of heritage resources and how to manage them in the context of the proposed development.

Depending on SAHRA's acceptance of this report, the developer may receive permission to proceed with the proposed development, on condition of successful implementation of proposed mitigation measures.

## 1.2.1 Scope of work

The aim of this study is to determine the cultural heritage significance of the area where the development is to take place. This included:

- Conducting a desk-top investigation of the project area; and
- A visit to the proposed project area.

The objectives were to:

- Evaluate the potential impacts of construction, operation and maintenance of the proposed development on archaeological, cultural and historical resources;
- Recommend mitigation measures to ameliorate any negative impacts on areas of archaeological, cultural or historical importance; and
- Provide guideline measures to manage any impacts that might occur during the proposed project's construction and implementation phases.

#### 2. LEGISLATIVE FRAMEWORK

## 2.1 Background

HIAs are governed by national legislation and standards and International Best Practise. These include:

- South African Legislation
  - National Heritage Resources Act, 1999 (Act No. 25 of 1999) (NHRA);
  - Mineral and Petroleum Resources Development Act, 2002 (Act No. 22 of 2002) (MPRDA);
  - National Environmental Management Act 1998 (Act No. 107 of 1998) (NEMA); and
  - o National Water Act, 1998 (Act No. 36 of 1998) (NWA).
- Standards and Regulations
  - o South African Heritage Resources Agency (SAHRA) Minimum Standards;
  - Association of Southern African Professional Archaeologists (ASAPA) Constitution and Code of Ethics:
  - Anthropological Association of Southern Africa Constitution and Code of Ethics.
- International Best Practise and Guidelines
  - ICOMOS Standards (Guidance on Heritage Impact Assessments for Cultural World Heritage Properties); and
  - The UNESCO Convention concerning the Protection of the World Cultural and Natural Heritage (1972).

#### 2.2 Heritage Impact Assessment Studies

South Africa's unique and non-renewable archaeological and palaeontological heritage sites are 'generally' protected in terms of the NHRA (Section 35) and may not be disturbed at all without a permit from the relevant heritage resources authority, subject to the provisions of Section 38(8) of the NHRA. The NHRA, Section 38, contains requirements for Cultural Resources Management and prospective developments:

"38 (1) Subject to the provisions of subsections (7), (8) and (9), any person who intends to undertake a development categorised as:

- (a) the construction of a road, wall, powerline, pipeline, canal or other similar form of linear development or barrier exceeding 300m in length;
- (b) the construction of a bridge or similar structure exceeding 50m in length;
- (c) any development or other activity which will change the character of a site:
  - (i) exceeding 5 000 m2 in extent; or
  - (ii) involving three or more existing erven or subdivisions thereof; or
  - (iii) involving three or more erven or divisions thereof which have been consolidated within he past five years; or
  - (iv) the costs of which will exceed a sum set in terms of regulations by SAHRA or a provincial heritage resources authority;
- (d) the re-zoning of a site exceeding 10 000 m2 in extent; or
- (e) any other category of development provided for in regulations by SAHRA or a provincial heritage resources authority, must at the very earliest stages of initiating such a development,

notify the responsible heritage resources authority and furnish it with details regarding the location, nature and extent of the proposed development."

And:

- "38 (3) The responsible heritage resources authority must specify the information to be provided in a report required in terms of subsection (2)(a): Provided that the following must be included:
  - (a) The identification and mapping of all heritage resources in the area affected;
  - (b) an assessment of the significance of such resources in terms of the heritage assessment criteria set out in section 6(2) or prescribed under section 7;
  - (c) an assessment of the impact of the development on such heritage resources;
  - (d) an evaluation of the impact of the development on heritage resources relative to the sustainable social and economic benefits to be derived from the development;
  - (e) the results of consultation with communities affected by the proposed development and other interested parties regarding the impact of the development on heritage resources;
  - (f) if heritage resources will be adversely affected by the proposed development, the consideration of alternatives; and
  - (g) plans for mitigation of any adverse effects during and after the completion of the proposed development."

#### 3. HERITAGE RESOURCES

#### 3.1 The National Estate

The NHRA defines the heritage resources of South Africa which are of cultural significance or other special value for the present community and for future generations that must be considered part of the national estate to include:

- places, buildings, structures and equipment of cultural significance;
- places to which oral traditions are attached or which are associated with living heritage;
- historical settlements and townscapes;
- landscapes and natural features of cultural significance;
- geological sites of scientific or cultural importance;
- archaeological and palaeontological sites;
- graves and burial grounds, including-
  - ancestral graves;
  - royal graves and graves of traditional leaders;
  - graves of victims of conflict;
  - o graves of individuals designated by the Minister by notice in the Gazette;
  - historical graves and cemeteries; and
  - other human remains which are not covered in terms of the Human Tissue Act, 1983 (Act No. 65 of 1983);
- sites of significance relating to the history of slavery in South Africa;
- movable objects, including
  - o objects recovered from the soil or waters of South Africa, including archaeological and palaeontological objects and material, meteorites and rare geological specimens;
  - o objects to which oral traditions are attached or which are associated with living heritage;
  - ethnographic art and objects;
  - o military objects;
  - objects of decorative or fine art;
  - o objects of scientific or technological interest; and
  - books, records, documents, photographic positives and negatives, graphic, film or video material or sound recordings, excluding those that are public records as defined in section 1(xiv) of the National Archives of South Africa Act, 1996 (Act No. 43 of 1996).

## 3.2 Cultural significance

In the NHRA, Section 2 (vi), it is stated that "cultural significance" means aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technological value or significance. This is determined in relation to a site or feature's uniqueness, condition of preservation and research potential.

According to Section 3(3) of the NHRA, a place or object is to be considered part of the national estate if it has cultural significance or other special value because of

- its importance in the community, or pattern of South Africa's history;
- its possession of uncommon, rare or endangered aspects of South Africa's natural or cultural heritage;
- its potential to yield information that will contribute to an understanding of South Africa's natural or cultural heritage;
- its importance in demonstrating the principal characteristics of a particular class of South Africa's natural or cultural places or objects;
- its importance in exhibiting particular aesthetic characteristics valued by a community or cultural group;
- its importance in demonstrating a high degree of creative or technical achievement at a particular period;
- its strong or special association with a particular community or cultural group for social, cultural or spiritual reasons;
- its strong or special association with the life or work of a person, group or organisation of importance in the history of South Africa; and
- sites of significance relating to the history of slavery in South Africa.

A matrix (see Section 2 of Addendum) was developed whereby the above criteria were applied for the determination of the significance of each identified site. This allowed some form of control over the application of similar values for similar identified sites.

## 4. PROJECT DESCRIPTION

#### 4.1 Site location

The proposed development is located a short distance east of Muldersdrift, south of the N14 and west of the intersection with the M5 (Beyers Naude Drive) (Fig. 1). For more information, see the Technical Summary on p. V above.

#### 4.2 Development proposal

No information regarding the proposed development was available during the site visit.

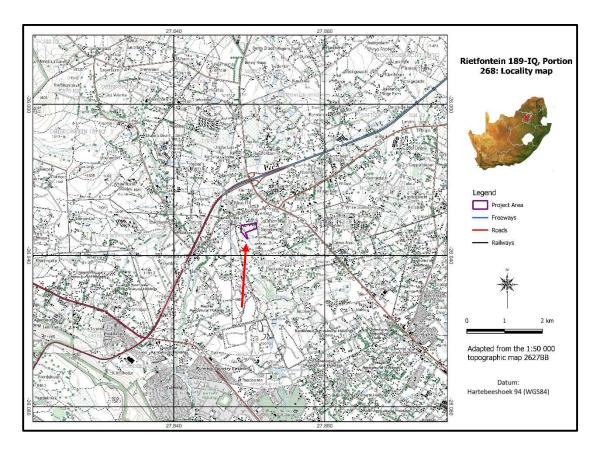


Figure 1. Location of the project area (arrowed) in regional context

## 5. STUDY APPROACH AND METHODOLOGY

## 5.1 Extent of the Study

This survey and impact assessment cover all facets of cultural heritage located in the project area as presented in Section 4 above and illustrated in Figure 1.

## 5.2 Methodology

## 5.2.1 Pre-feasibility assessment

This level of investigation is appropriate in the initial project planning and development. It is designed to assess the general nature of the cultural resources present and the probable impact of the proposed project. The objectives of this review were to:

- Gain an understanding of the cultural landscape within which the project is located;
- Inform the field survey.

#### 5.2.1.1 Survey of the literature

A survey of the relevant literature was conducted with the aim of reviewing the previous research done and determining the potential of the area. In this regard, various anthropological, archaeological and historical sources were consulted – see list of references in Section 14.

• Information on events, sites and features in the larger region were obtained from these sources.

## 5.2.1.2 Survey of heritage impact assessments (HIAs)

A survey of HIAs done for projects in the region by various heritage consultants was conducted with the aim of determining the heritage potential of the area – see list of references in Section 14.

Information on sites and features in the larger region were obtained from these sources.

#### 5.2.1.3 Data bases

The Heritage Atlas Database, various SAHRA databases, the Environmental Potential Atlas, the Chief Surveyor General and the National Archives of South Africa were consulted.

 Database surveys produced a number of sites located in the larger region of the proposed development.

### 5.2.1.4 Other sources

Aerial photographs and topocadastral and other maps were also studied - see the list of references below.

Information of a very general nature were obtained from these sources.

#### 5.2.1.5 Local population

Interviews are to be conducted with people occupying the project area, or who can prove, through relevant contributions, that they have knowledge and information regarding heritage resources in the project area and, if those resources can possibly be linked to contiguous regions.

## 5.2.2 Field survey

The field survey will be done according to generally accepted archaeological practices, and is aimed at locating all possible heritage sites, objects and structures. The area that had to be investigated was identified by *Seedcracker Environmental Consulting* by means of maps and .kml files indicating the project area. This was loaded onto a Samsung digital device and used in Google Earth during the field survey to access the project area.

The intensive field survey involves a complete, thorough, on-the-ground survey and testing of the project area to determine the number and extent of the cultural resources present; their cultural and scientific importance; and a comprehensive plan for preserving, recovering, or otherwise mitigating adverse effects on them.

The approach applied was to address the priority regions, in this case, the open pit mining areas, first, after which the larger surrounding areas were surveyed. Special attention was given to:

- High Probability Areas: Those areas that are or once were especially suitable for human exploitation and habitation. Examples include, but are not limited to, high resource environments such as alluvial plains, hills, outcrops and river banks.
- All information obtained through consultation with local inhabitants were followed up and verified. This was especially the case with graves and burial sites.
- All information obtained through the initial pre-feasibility study were be followed and verified.

The project area was visited on 6 December 2023, and was investigated by walking transects across it.

## 5.2.3 Documentation

All sites, objects and structures that were identified are documented according to the general minimum standards accepted by the archaeological profession. Coordinates of individual localities are determined by means of the *Global Positioning System* (GPS) and plotted on a map. This information is added to the description to facilitate the identification of each locality. Map datum used: Hartebeeshoek 94 (WGS84).

The track log and identified sites were recorded by means of a Garmin Oregon 550 handheld GPS device. Photographic recording was done by means of a Canon EOS 550D digital camera. Geo-rectifying of the aerial photographs and historic maps was done by means of a professional software package: ExpertGPS.



Figure 2. Map indicating the track log of the site visit

## **6. ASSUMPTIONS AND LIMITATIONS**

The investigation has been influenced by the following:

- It is assumed that the description of the proposed project, provided by the client, is accurate;
- It is assumed that the public consultation process undertaken as part of the Environmental Impact Assessment (EIA) is sufficient and that it does not have to be repeated as part of the HIA;
- It is assumed that the information contained in existing databases, reports and publications is correct.
- The unpredictability of buried archaeological remains;
- No subsurface investigation (i.e. excavations or sampling) were undertaken, since a permit from SAHRA is required for such activities;
- The vegetation cover encountered during a site visit can have serious limitations on ground visibility, obscuring features (artefacts, structures) that might be an indication of human settlement.

## **6.1 Statement of Limitation**

The information contained in the report was prepared using materials, information, data and evidence derived from sources believed to be reliable and correct. Whilst every endeavour has been made by the author to ensure that the report is factually accurate, it is, out of necessity, based on information

that could reasonably be sourced within the time period allocated to the survey. It is, furthermore, out of necessity, dependent on information provided by management and or its representatives.

The findings, results, observations, conclusions and recommendations given in this report are based on the author's best scientific and professional knowledge, as well as available and disclosed information as mentioned above. J A van Schalkwyk, heritage consultant, reserve the right to modify aspects of the report, including the recommendations, when new information becomes available that necessitates these changes. It should, accordingly, not be assumed that all possible and applicable findings and/or measures are included in this report, as any survey represents a sample of verifiable parameters.

## 7. RESPONSES TO INTERESTED AND AFFECTED PARTIES

The public consultation process required for the Environmental Impact Assessment process will be managed by the lead environmental consultants on the project.

• If any concerns are raised with regards to the heritage impact assessment it will be addressed in this report.

#### 8. DESCRIPTION OF THE AFFECTED ENVIRONMENT

#### 8.1 Natural Environment

The original vegetation of most of the project area is classified as Egoli Granite Grassland, a grassland biome falling in the Mesic Highveld Grassland Biome. However, in the project area this has been transformed due to agricultural activities (Fig. 3).

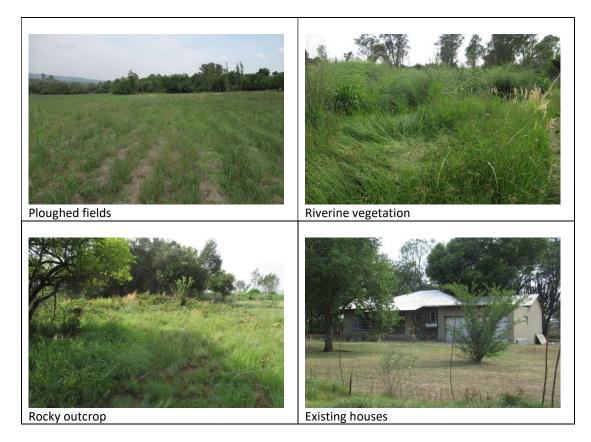


Figure 3. Views over the project area

The geology of the region is made up of granodiorite (porphyritic in places), gneiss and migmatite. The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that project area (Fig. 4) has an insignificant to zero sensitivity of fossil remains to be found and therefore no palaeontological studies are required.

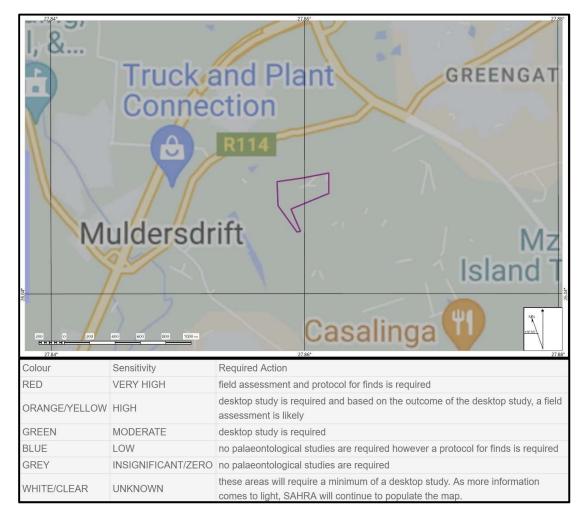


Figure 4. The Palaeontological sensitivity of the project area

## 8.2 Cultural landscape

The aim of this section is to present an overview of the history of the larger region in order to eventually determine the significance of heritage sites identified in the project area, within the context of their historic, aesthetic, scientific and social value, rarity and representivity.

The cultural landscape qualities of the region essentially consist of two components. The first is a rural area in which the human occupation is made up of a limited pre-colonial element (Stone Age and Iron Age) as well as a much later colonial (farmer and industrial) component. The second component, although much younger, is a semi-urban one, in which large farms were divided up into small-holdings, which, in turn, are now rapidly overtaken by urban densification.

## 8.2.1 Stone Age

The larger Mogale City area has been inhabited by different hominids since early Pliocene times, but it was only from about 2.5 million years ago that they started to produce stone tools, effectively beginning the Early Stone Age (ESA) (Pollarolo *et al* 2010). During Middle Stone Age (MSA) times (c. 150 000 - 30 000 BP), people became more mobile, occupying areas formerly avoided.



Figure 5. The Australopithecus skeleton, named Little Foot, while being excavated at Sterkfontein Caves

(Image allowed by kind permission of Prof Ron Clarke, July 2005)

Late Stone Age (LSA) people had even more advanced technology than the MSA people and therefore succeeded in occupying even more diverse habitats. Also, for the first time we now get evidence of people's activities derived from material other than stone tools. Ostrich eggshell beads, ground bone arrowheads, small, bored stones and wood fragments with incised markings are traditionally linked with the LSA. A number of sites dating to this period have been studied by Wadley (1988) in the Magaliesberg area. In the case of the LSA people, they have also left us with a rich legacy of rock art, which is an expression of their complex social and spiritual believes (James 2000).

## 8.2.2 Iron Age

Iron Age people started to settle in southern Africa c. AD 300, with one of the oldest known sites at Broederstroom, dating to AD 470, located south of Hartebeespoort Dam just outside of the WHS area (Mason 1974; Huffman 1990). Having only had cereals (sorghum, millet) that need summer rainfall, Early Iron Age (EIA) people did not move outside this rainfall zone, and neither did they occupy the central interior highveld area.

The occupation of the region by Iron Age communities did not start much before the 1500s. Due to climatic fluctuations, bringing about colder and drier conditions, people were forced to avoid this area. Following a dry spell that ended just before the turn of the millennium the climate became better again until about AD 1300. This coincided with the arrival of the ancestors of the present-day Sotho-, Tswana-and Nguni-speakers in southern Africa, forcing them to avoid large sections of the interior (Dreyer 1995; Mason 1986).

## 8.2.3 Historic period

Originally the trekkers who settled in the region occupied themselves with farming. After the discovery of gold on the Witwatersrand, exploration also started in this area, e.g. the well-known Harry and Fred Struben were exploring in the Sterkfontein area during 1884. One of the oldest gold mines was established in 1874 at Blaauwbank and another in 1891 on the farm Kromdraai. By this time the fossilbearing caves were already known, and lime quarrying started about 1895. However, it was more than forty years later, in 1936, that Robert Broom first identified the remains of a number of fossil hominids.

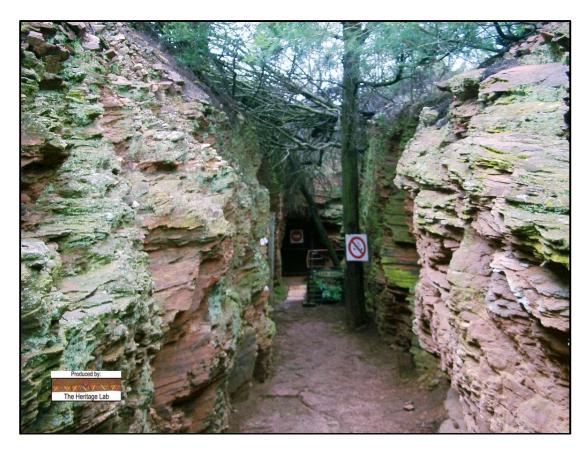


Figure 6. Entrance to the old Blaauwbank gold mine

#### 9. PROJECT AREA SENSITIVITY

## 9.1 Sensitivity analysis based on the Environmental Screening Tool

The *DFFE National Screening Tool* was consulted prior to commencing with the specialist assessment. Based on the findings of the site sensitivity assessment the cultural heritage specialist will compile the

relevant assessment (full Phase I cultural heritage impact assessment or cultural heritage compliance statement).

According to the DFFE National Screening Tool, the sections making up the larger project area have
a very high sensitivity for archaeological and cultural heritage themes, as indicated on the map in
Fig. 7 below.

The DFFE screening tool is a guideline and is based on coarse datasets and as a result the areas may not be accurate. It is therefore up to the specialists to verify the results in the field.

• The site referred to in the DFFE Screening is a single grave, located behind a razor wire fence and access is not possible (see Section 10).



Very high sensitivity	High sensitivity	Medium sensitivity	Low sensitivity	
X				

## Sensitivity features:

Sensitivity	Features (s)
Low	Low sensitivity
Very high	Within 100 m of an Ungraded Heritage Site

Figure 7. Sensitivity for archaeological/cultural heritage as per the DFFE National Screening Tool (https://screening.environment.gov.za/screeningtool)

## 9.2 Prefeasibility Assessment Results

The results of the Pre-feasibility assessment (see Section 5 above) are presented in Table 1 and Figure 9 below – see list of references in Section 14.

Table 1: Pre-Feasibility Assessment of the project area

Period	Probability	Reference		
renod	Trobability	Reference		
	Low	Aerial photographs; Historic maps		
Pliocene – Lower Pleistocene	LOW	Activation priorographis, miscorio maps		
	None	-		
	NOTIC	-		
	Low	Heritage Atlas Database		
		Heritage Atlas Database  Heritage Atlas Database		
		-		
		-		
	None	-		
	None	-		
		-		
		- (4005)		
-	LOW	Dreyer (1995)		
	Donath!	Burnell (400C)		
		Praagh (1906)		
Recent history	Possible	Heritage Atlas Database; Van Schalkwyk		
		(2011); Van Vuuren & Van Schalkwyk		
		(2005)		
		Heritage Atlas Database; Praagh (1906)		
The Third Ste	26278608	* 252/58TQ		
	Pliocene – Lower Pleistocene Early hominin Lower Pleistocene – Holocene Early Stone Age Middle Stone Age Later Stone Age Rock Art Holocene Early Iron Age Middle Iron Age Late Iron Age Holocene Contact period/Early historic Recent history	Pliocene – Lower Pleistocene Early hominin None Lower Pleistocene – Holocene Early Stone Age Low Middle Stone Age Low Later Stone Age Unknown Rock Art None Holocene Early Iron Age None Middle Iron Age Low Holocene Contact period/Early historic Possible Recent history Possible  Industrial heritage Low		

Figure 8. Location of known heritage sites and features in relation to the project area (Heritage sites = coded green dots) (circles at a distance of 1km)

## 9.3 SAHRIS Database site

9.3.1 Grade I - Sites of National Significance

According to the SAHRIS Database there are no sites of national significance (Grade I) in the region of the project area.

## 9.3.2 Grade II - Sites of Provincial Significance

According to the SAHRIS Database there are no sites of provincial significance (Grade II) in the region of the project area.

## 9.4 Verified Site Sensitivity

Based on the screening assessment, i.e. a review of available databases, publications, as well as available heritage impact assessments done for the purpose of developments in the region, see list of references in Section 14 below, and supported by the field survey, it was determined that although some important and significant heritage sites occur in the larger region, the project area, is located in an area with a low presence of heritage sites and features.

- Stone Age tools, dating to the ESA and MSA occur as low-density scatters on some outcrops and river banks in the larger region;
- Sites dating to the Late Iron Age are known to occur to the northwest and far north of the project area;
- Historic structures, inclusive of buildings, mission stations, monuments and bridges, occur mostly in an urban environment, although they also found sporadically in the more rural regions;
- Formal and informal burial sites occur sporadically throughout the region.

Based on the above assessment, the probability of cultural heritage sites, features and objects occurring in the project area is considered to be **low**.

## 9.5 Site specific review

Although landscapes with cultural significance are not explicitly described in the NHRA, they are protected under the broad definition of the National Estate (Section 3): Section 3(2)(c) and (d) list "historical settlements and townscapes" and "landscapes and natural features of cultural significance" as part of the National Estate.

The examination of historical maps and aerial photographs help us to reconstruct how the cultural landscape has changed over time as is show how humans have used the land.

From a study of old maps and aerial photographs (Fig. 9 to 12), it can be seen that different house structures occur on the northern part of the project area, whereas the southern section has always been open.

A review of the house structures revealed that most of them are of recent origin, less than 40 years old, whereas others have been upgraded and altered beyond their original structure. Only one of them shown some originality, but access to this structure was denied by the occupants (see Section 10 below).

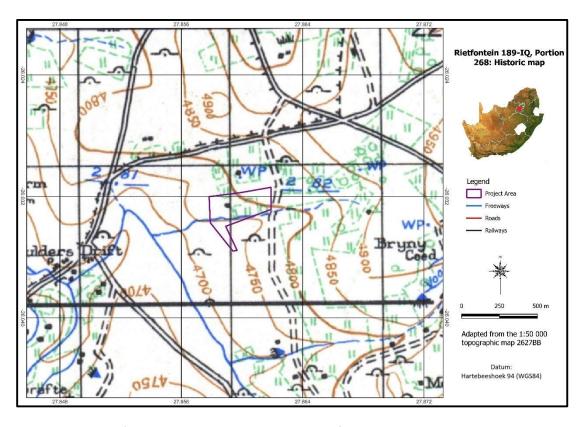


Figure 9. Location of the project area on the 1943 version of the topographic map

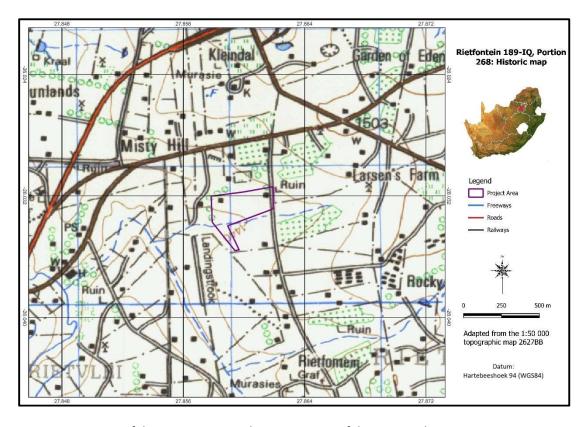


Figure 10. Location of the project area on the 1977 version of the topographic map



Figure 11. Aerial view of the project area dating to 2009 (Google Earth)



Figure 12. Aerial view of the project area dating to 2023 (Google Earth)

## **10. SITE SURVEY RESULTS**

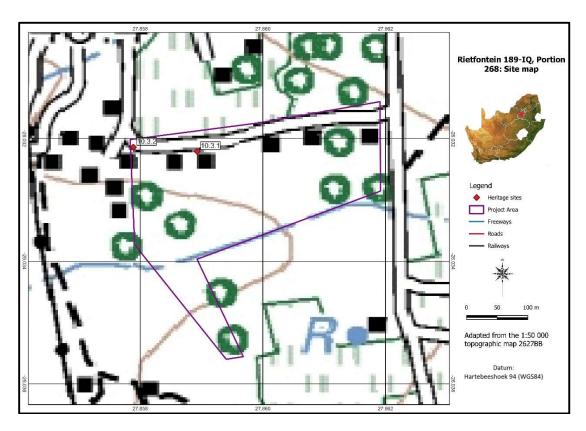


Figure 13. Location of heritage sites in the project area

# 10.1 Stone Age

• No sites, features or objects dating to the Stone Age were identified in the project area.

## 10.2 Iron Age

• No sites, features or objects dating to the Iron Age were identified in the project area.

## **10.3 Historic Period**

NHRA Category Struc	Structures older than 60 years - Section 34			
10.3.1 Type: Farmstead. Farm	n: Riefontein 189IQ. <b>Coordinates</b> : -26,03221; 27,85893			
<b>Description</b> : A house that seen	ms to be well maintained and based on its style, probably dates to the			
1950s. Unfortunately, access	to this structure could not be gained. Its character can be described			
as rural and rustic.				
Significance of site/feature	Generally protected 4B: Medium significance - Should be			
recorded before destruction.				
<b>Reasoned opinion</b> : Loss of a limited number of similar features in the larger landscape.				
References: -				



Figure 14. View of the house

NHRA Category	Graves, Cemeteries and Burial Grounds - Section 36	
10.3.2 Type: Burial site. Farm: Riet	tfontein 189IR. <b>Coordinates</b> : -2,03214; 27,85789	
A single grave located close to the	e boundary fence. Unfortunately, it is located behind a razor wire	
fence and detail about it could not	t be determined.	
Significance of site/feature Generally protected 4A: High/medium significance.		
Reasoned opinion: Burial sites are viewed as having high emotional and sentimental value.		
Mitigation is possible if proper procedures have been followed – see 15. Addendum, Section 4		
References: -		



Figure 15. View of the grave

## 11. IMPACT ASSESSMENT RATINGS AND MITIGATION MEASURES

Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

## 11.1 Impact assessment

Heritage impacts are categorised as:

- Direct or physical impacts, implying alteration or destruction of heritage features within the project boundaries;
- Indirect impacts, e.g. restriction of access or visual intrusion concerning the broader environment;
- Cumulative impacts that are combinations of the above.

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development and is summarised in Table 2 below:

Table 2: Calculating the impact assessment

House structure: 10.3.1					
Impact assessment: This site is located inside the project area. Therefore, the possibility of an impact					
1 -	• •				
on it by the proposed development a	ctivities is considered to be p	ossible.			
	Without mitigation	With mitigation			
Extent	Site (1)	Site (1)			
Duration	Permanent (5)	Short duration (2)			
Intensity (Magnitude)	Moderate (6)	Low (4)			
Probability	Probable (3)	Improbable (2)			
Significance	Medium (36)	Low (14)			
Status (positive or negative)	Negative	Neutral			
Reversibility	Non-reversible	Reversible			
Irreplaceable loss of resources?	Yes	No			
Can impacts be mitigated Yes					
Cumulative impact: Loss of a limited number of similar features in the larger landscape.					

## Mitigation:

If the structure is to be demolished, then:

(2) Archaeological investigation: Mitigation is to document the site (map and photograph) it in detail.

**Requirements**: In the event of an impact occurring on the identified structure, a permit for mitigation and/or destruction must be obtained from SAHRA/PHRA prior to any work being carried out.

Burial sites: 10.3.2				
<b>Impact assessment</b> : This site is located right on the boundary fence of the project area. Therefore, a				
possibility of an impact on it by the pr	roposed development activit	ies is considered to be possible.		
	Without mitigation With mitigation			
Extent	Site (1)	Site (1)		
Duration	Permanent (5)	Short duration (2)		
Intensity (Magnitude)	Moderate (6)	Low (4)		
Probability	Probable (3)	Improbable (2)		
Significance	Medium (36)	Low (14)		
Status (positive or negative)	Negative	Neutral		
Reversibility	Non-reversible	Reversible		
Irreplaceable loss of resources?	Yes	No		
Can impacts be mitigated Yes				

Cumulative impact: Loss of a limited number of similar features in the larger landscape.

#### Mitigation:

(1) Avoidance/Preserve: This is viewed to be the primary form of mitigation and applies where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. This measure often includes the change / alteration of development planning and therefore impact zones in order not to impact on resources.

• It is proposed that the individual grave is retained and fenced off permanently in a proper manner.

**Requirements**: In the event of an impact occurring on the identified burial site, a permit for mitigation and/or destruction must be obtained from SAHRA/PHRA prior to any work being carried out.

• The appropriate steps to take are indicated in Section 9 of the report, as well as in the Management Plan: Burial Grounds and Graves, with reference to general heritage sites, in the Addendum, Section 15.4.

#### 12. MANAGEMENT MEASURES

Heritage sites are fixed features in the environment, occurring within specific spatial confines. Any impact upon them is permanent and non-reversible. Those resources that cannot be avoided and are directly impacted by the proposed development can be excavated/recorded and a management plan can be developed for future action. Those sites that are not impacted on can be written into the management plan, whence they can be avoided or cared for in the future.

Sources of risk were considered with regards to development activities defined in Section 2(viii) of the NHRA that may be triggered and are summarised in Table 3A and 3B below. These issues formed the basis of the impact assessment described. The potential risks are discussed according to the various phases of the project below.

## 12.1 Objectives

- Protection of archaeological, historical and any other site or land considered being of cultural value within the Project Area against vandalism, destruction and theft.
- The preservation and appropriate management of new discoveries in accordance with the NHRA, should these be discovered during construction activities.

The following shall apply:

- Known sites should be clearly marked, so that they can be avoided during construction activities;
- The contractors and workers should be notified that archaeological sites might be exposed during the construction activities;
- Should any heritage artefacts be exposed during excavation, work on the area where the artefacts
  were discovered, shall cease immediately and the Environmental Control Officer (ECO) shall be
  notified as soon as possible;
- All discoveries shall be reported immediately to a heritage practitioner so that an investigation and evaluation of the finds can be made. Acting upon advice from these specialists, the ECO will advise the necessary actions to be taken;
- Under no circumstances shall any artefacts be removed, destroyed or interfered with by anyone on the site; and
- Contractors and workers shall be advised of the penalties associated with the unlawful removal of cultural, historical, archaeological or palaeontological artefacts, as set out in the NHRA, Section 51(1).

#### 12.2 Control

In order to achieve this, the following should be in place:

- A person or entity, e.g. the ECO, should be tasked to take responsibility for the heritage sites and held accountable for any damage.
- Known sites should be located and isolated, e.g. by fencing them off. All construction workers should be informed that these are no-go areas, unless accompanied by the individual or persons representing the ECO as identified above.
- In areas where the vegetation is threatening the heritage sites, e.g. growing trees pushing walls over, it should be removed, but only after permission for the methods proposed has been granted by SAHRA. A heritage official should be part of the team executing these measures.

Table 3A: Construction Phase: Environmental Management Programme for the project

Action required	Protection of heritage sites, features and objects					
Potential Impact	The identified risk is damage or changes to resources that are generally protected in					
	terms of Sections 27, 28, 31, 32, 3	4, 35, 36 and 37 of the NF	IRA that may occur in the			
	Project Area.					
Risk if impact is not	Loss or damage to sites, features	or objects of cultural heri	tage significance			
mitigated						
Activity / issue	Mitigation: Action/control Responsibility Timeframe					
1. Removal of	See discussion in Section 9.1	Environmental	During construction			
Vegetation	above Control Officer only					
2. Construction of						
required infrastructure,						
e.g. access roads, water						
pipelines						
Monitoring	See discussion in Section 9.2 above					

Table 3B: Operation Phase: Environmental Management Programme for the project

Action required	Protection of heritage sites, features and objects				
Potential Impact	It is unlikely that the negative impacts identified for pre-mitigation will occur if the recommendations are followed.				
Risk if impact is not mitigated	Loss or damage to sites, features or objects of cultural heritage significance				
Activity / issue	Mitigation: Action/control Responsibility Timeframe				
1. Additional	See discussion in Section 9.1	Environmental	During	construction	
construction of required infrastructure, e.g. access roads, water pipelines	above	Control Officer	only		
Monitoring	See discussion in Section 9.2 above				

## 12.3 Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report. For this proposed project, the assessment has determined that sites, features or objects of heritage significance occur in the project area. Therefore, permits would be required from SAHRA or the PHRA.

 If heritage features are identified during construction activities, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

#### 13. CONCLUSIONS AND RECOMMENDATIONS

Seedcracker Environmental Consulting was appointed as the independent Environmental Assessment Practitioner (EAP) to undertake the environmental assessment process for the proposed residential development on Portion 268 of the farm Rietfontein 189-IQ, Mogale City Local Municipality, Gauteng Province.

This report describes the methodology used, the limitations encountered, the heritage features that were identified and the recommendations and mitigation measures proposed relevant to this. The investigation consisted of a desktop study (archival sources, database survey, maps and aerial imagery) and a physical survey that also included the interviewing of relevant people. It should be noted that the implementation of the mitigation measures is subject to SAHRA/PHRA's approval.

## **Verified Site Sensitivity**

Based on the screening assessment, i.e. a review of available databases, publications, as well as available heritage impact assessments done for the purpose of developments in the region, and supported by the field survey, it was determined that although some important and significant heritage sites occur in the larger region, the project area, is located in an area with a low presence of heritage sites and features.

- Stone Age tools, dating to the ESA and MSA occur as low-density scatters on some outcrops and river banks in the larger region.
- Sites dating to the Late Iron Age, early historic time, are scattered across the region, located especially in the various hills both sides of the Vaal River. Engravings depicting this type of settlements are found to the south, in the Free State.
- Other sites, dating to historic times, are former homesteads, which have all being demolished, with, in most cases, only burials in close proximity to indicate their presence.

## Identified sites

10.3.1: A house that seems to be well maintained and based on its style, probably dates to the 1950s. Unfortunately, access to this structure could not be gained. Its character can be described as rural and rustic.

10.3.2: A single grave located close to the boundary fence. Unfortunately, it is located behind a razor wire fence and no detail about it could not be determined.

## Impact assessment and proposed mitigation measures

Impact analysis of cultural heritage resources under threat of the proposed development, is based on the present understanding of the development:

Site No.	Site type	NHRA category	Field rating	Impact rating: Before/After mitigation
10.3.1	Built structure	Section 34	Generally protected 4A: High significance	Medium (36)
				Low (14)

Mitigation: 2) Archaeological investigation: Mitigation is to document the sites (map and photograph) and analyse any recovered material to acceptable standards.

Site No.	Site type	NHRA category	Field rating	Impact rating: Before/After mitigation	
10.3.2	Burial site	Section 36	Generally protected 4A: High significance	Medium (36)	
				Low (14)	
Mitigation: (1) Avoidance/Preserve: It is proposed that the individual grave is retained in position and that it is formally fenced off.					

#### Legal requirements

The legal requirements related to heritage specifically are specified in Section 3 of this report. For this proposed project, the assessment has determined that sites, features or objects of heritage significance occur in the project area, therefore permits would be required.

• If heritage features are identified during construction activities, as stated in the management recommendation, these finds would have to be assessed by a specialist, after which a decision will be made regarding the application for relevant permits.

## Reasoned opinion as to whether the proposed activity should be authorised:

• From a heritage point of view, it is recommended that the Proposed Project be allowed to continue on acceptance of the mitigation measures presented above and the conditions proposed below.

## Conditions for inclusion in the environmental authorisation:

- The Palaeontological Sensitivity Map (http://www.sahra.org.za/sahris/map/palaeo) indicate that
  project area has an insignificant to zero sensitivity of fossil remains to be found and therefore no
  palaeontological studies are required.
- Should archaeological sites or graves be exposed during construction activities, it must immediately be reported to a heritage practitioner so that an investigation and evaluation of the finds can be made. The appropriate steps to take are indicated in Section 9 of the report, as well as in the Management Plan: Burial Grounds and Graves, with reference to general heritage sites, in the Addendum, Section 15.4.

#### 14. REFERENCES

## 14.1 Data bases

Chief Surveyor General
Environmental Potential Atlas, Department of Environmental Affairs and Tourism.
Heritage Atlas Database, Pretoria
National Archives of South Africa
SAHRA Archaeology and Palaeontology Report Mapping Project (2009)
SAHRIS Database

#### 14.2 Literature

Bergh, J.S. (red.). 1998. *Geskiedenisatlas van Suid-Afrika: die vier noordelike provinsies*. Pretoria: J.L. Schaik.

Clarke, R.J. & Partridge, T.C. 2010. Caves of the Ape-men. South Africa's Cradle of Humankind World Heritage Site. Johannesburg: Wits University Press.

Dreyer, J. 1995. Late Iron Age in the Magaliesberg valley: Jones' (1935) stone structures revisited. *Southern African Field Archaeology* 4(1):50-57.

Fisher, R.C. 1992. Visual lexicon of the South African dwelling. Cape Town: Unibook Publishers.

Fourie, W. 2001. *Heritage Impact Assessment Cosmo City Development*. Unpublished report: Matakoma Consultants.

Fourie, W. 2008. Heritage Scoping Proposed development for Village x9 on Portions 205 and 206 of the farm Roodekrans 183 IQ, Krugersdorp, Gauteng Province. Unpublished report.

Gaigher, S. 2018. Heritage impact assessment for the proposed Oakfield development located on Portions 240, 241 and 242 of the Farm Rietfontein 189IQ, Mogale City Local Municipality, West Rand District Municipality, Gauteng Province. Louis Trichardt: Unpublished report.

Holm, S.E. 1966. *Bibliography of South African Pre- and Protohistoric archaeology*. Pretoria: J.L. van Schaik.

Horn, A.C. 1996. Okkupasie van die Bankeveld voor 1840 n.C.: 'n sintese. South African Journal of Ethnology 19(1):17-27.

Huffman, T.N. 1990. Broederstroom and the origins of cattle-keeping in southern Africa. *African Studies* 49(2): 1-12.

Huffman, T. 2003. *Archaeological assesment (sic) of Van Wyks Restant, Krugersdorp*. Unpublished report. Johannesburg: University of the Witwatersrand.

Huffman, T.N. 2007. Handbook to the Iron Age. Scottsville: University of KwaZulu-Natal Press.

James, H. 2000. The engravings of several portions of the farm Doornkloof 393JQ along the Magaliesberg Range. Unpublished MA thesis. Pretoria: University of Pretoria.

Mason, R.J. 1969. Prehistory of the Transvaal. Johannesburg: Witwatersrand University Press.

Mason, R.J. 1986. *Origins of the Black People of Johannesburg and the southern western central Transvaal AD 350-1880*. Occasional Paper No. 16. Johannesburg: Archaeological Research Unit, University of the Witwatersrand.

Muncina, L. & Rutherford, M.C. 2006. *The Vegetation Map of South Africa, Lesotho and Swaziland*. Pretoria: SANBI.

Municipal Council of Krugersdorp. 1936. *Krugersdorp, Capital of the Western Witwatersrand*. Official Illustrated Handbook. Krugersdorp: The Municipal Council of Krugersdorp

Pelser, A. 2009. A Report on a Heritage Impact Assessment Study for the proposed new Homes Haven Extension 1 Township on Portion 10 of the farm Roodekrans 183 IQ Mogale City Local Municipality Gauteng. Unpublished report.

Pollarolo, L., Susino, G., Kuman, K. & Bruxelles, L. 2010. Aucheulean artefacts at Maropeng in the Cradle of Humankind World Heritage Site, Gauteng Province, South Africa. *South African Archaeological Bulletin* 65(191): 3-12.

Praagh, L.V. (ed.) 1906. The Transvaal and its Mines. London: Praagh & Lloyd.

Van der Walt, J. 2008. Archaeological Impact Assessment on Portion 315 and Remaining extend Portion 29 of the Farm Rietfontein 189IQ, Muldersdrift, Gauteng Province. Heritage contracts Unit: Unpublished report.

Van der Walt, J. 2016. Archaeological Impact Assessment for the proposed development of a Waterpark, Rietfontein, Mogale City. HCAC: Unpublished report 21629.

Van der Walt, J. 2006. Phase 1 impact assessment: Greengate Ext 12 on the farm Rietfontein 189, Mogale City, Gauteng Province. Paardekraal: Unpublished report

Van der Walt, J. 2020. Heritage Impact Assessment for the proposed mixed-us development on Portion 260 (a Portion of Portion 114) of the Farm Rietfontein 189IQ and associated roads and services on surrounding properties in Gauteng Province. Modimolle: Unpublished report.

Van der Walt, J. 2022a. Heritage Impact Assessment for the proposed Greengate Ext 105 development on part of Portion 255 of the Farm Rietfontein 189IQ, Gauteng. Modimolle: Unpublished report.

Van der Walt, J. 2022b. Heritage Impact Assessment for the proposed Greengate Ext 100 & 101 developments on Portion 329 and the Remainder of Portion 7 of the Farm Rietfontein 189IQ, Mogale City Local Municipality. Modimolle: Unpublished report.

Van der Walt, J. 2022c. Heritage Impact Assessment for the proposed Greengate Ext 102 & 103 township development on Portion 248 of the Farm Rietfontein 189IQ, Gauteng. Modimolle: Unpublished report.

Van Schalkwyk, J.A. 2007. Heritage survey report for the proposed development on a portion of the farm Wilgespruit 190IQ, in the Roodepoort magisterial district, Gauteng Province. Pretoria: Unpublished report 2007/JvS/54.

Van Schalkwyk, J.A. 2008. Heritage survey report for the proposed development on Holding 3 of the Tres Jolie agricultural holdings. Roodepoort magisterial district, Gauteng Province. Pretoria: Unpublished report 2008/JvS/062.

Van Schalkwyk, J.A. 2010. Heritage impact assessment for the proposed road upgrade in the Wilgeheuwel region, Roodepoort area, Gauteng Province. Pretoria: Unpublished report 2010/JvS/053.

Van Schalkwyk, J.A. 2011. Heritage impact assessment for the proposed development on Portion 151 of the farm Rietfontein 189IQ, Krugersdorp magisterial district, Gauteng Province. Unpublished report 2011/JvS/044.

Van Schalkwyk, J.A. 2015. *Cultural heritage impact assessment for the development of low cost housing on Portion 130 of the farm Rietfontein 189IQ, Muldersdrift region, Mogale City District Municipality, Gauteng Province*. Unpublished report 2015/JvS/070.

Van Schalkwyk, J.A. 2016a. *Cultural heritage impact assessment for the proposed Homes Haven Extension 24 on Portion 36 of the farm Roodekrans 183IQ, Mogale City local municipality, Gauteng Province*. Unpublished report 2016/JvS/061.

Van Schalkwyk, J.A. 2016b. *Cultural heritage impact assessment for the proposed Honeydew Grove X10 on Portion 140 of the farm Wilgespruit 190IQ, Roodepoort, Gauteng Province*. Unpublished report 2016/JvS/063.

Van Vuuren, C.J. & Van Schalkwyk, J.A. 2005. Survey report of sites of cultural significance in the Mogale City municipal area, Gauteng. African Centre for Arts, Culture and Heritage Studies, University of South Africa.

Wadley, L. 1988. Stone Age sites in the Magaliesberg. In Evers, T.M., Huffman, T.N. & Wadley, L. (eds.) *Guide to Archaeological sites in the Transvaal*. Johannesburg: Dept. of Archaeology, University of the Witwatersrand. Pp. 9-39.

## 14.3 Archival sources, websites, maps and aerial photographs

1: 50 000 Topographic maps Google Earth Aerial Photographs: Chief Surveyor-General http://artefacts.co.za http://www.adu.org.za http://www.sahra.org.za/sahris/map/palaeo

### 15. ADDENDUM

### 1. Indemnity and terms of use of this report

The findings, results, conclusions and recommendations given in this report are based on the author's best scientific and professional knowledge as well as available information. The report is based on survey and assessment techniques which are limited by time and budgetary constraints relevant to the type and level of investigation undertaken and the author reserve the right to modify aspects of the report including the recommendations if and when new information may become available from ongoing research or further work in this field, or pertaining to this investigation.

Although all possible care is taken to identify all sites of cultural importance during the investigation of study areas, it is always possible that hidden or sub-surface sites could be overlooked during the study. The author of this report will not be held liable for such oversights or for costs incurred as a result of such oversights.

Although the author exercises due care and diligence in rendering services and preparing documents, he accepts no liability and the client, by receiving this document, indemnifies the author against all actions, claims, demands, losses, liabilities, costs, damages and expenses arising from or in connection with services rendered, directly or indirectly by the author and by the use of the information contained in this document.

This report must not be altered or added to without the prior written consent of the author. This also refers to electronic copies of this report which are supplied for the purposes of inclusion as part of other reports, including main reports. Similarly, any recommendations, statements or conclusions drawn from or based on this report must make reference to this report. If these form part of a main report relating to this investigation or report, this report must be included in its entirety as an appendix or separate section to the main report.

## 2. Assessing the significance of heritage resources and potential impacts

A system for site grading was established by the NHRA and further developed by the South African Heritage Resources Agency (SAHRA 2007) and has been approved by ASAPA for use in southern Africa and was utilised during this assessment.

# 2.1 Significance of the identified heritage resources

According to the NHRA, Section 2(vi) the **significance** of a heritage sites and artefacts is determined by it aesthetic, architectural, historical, scientific, social, spiritual, linguistic or technical value in relation to the uniqueness, condition of preservation and research potential. It must be kept in mind that the various aspects are not mutually exclusive, and that the evaluation of any site is done with reference to any number of these.

# Matrix used for assessing the significance of each identified site/feature

1. SITE EVALUATION			
1.1 Historic value			
Is it important in the community, or pattern of history			
Does it have strong or special association with the life or work of a person,	group or or	ganisation	
of importance in history	Proup or or	Barnsacion	
Does it have significance relating to the history of slavery			
1.2 Aesthetic value			
It is important in exhibiting particular aesthetic characteristics valued by a	community	or cultural	
group	Jonnana	or carcarar	
1.3 Scientific value			
Does it have potential to yield information that will contribute to an under	standing of	natural or	
cultural heritage			
Is it important in demonstrating a high degree of creative or technical achie	vement at a	particular	
period			
1.4 Social value			
Does it have strong or special association with a particular community or cu	Itural group	for social,	
cultural or spiritual reasons			
1.5 Rarity			
Does it possess uncommon, rare or endangered aspects of natural or cultural	al heritage		
1.6 Representivity			
Is it important in demonstrating the principal characteristics of a particul	ar class of	natural or	
cultural places or objects			
Importance in demonstrating the principal characteristics of a range of landscapes or			
environments, the attributes of which identify it as being characteristic of its class			
Importance in demonstrating the principal characteristics of human activities			
philosophy, custom, process, land-use, function, design or technique) in the environment of the			
nation, province, region or locality.			
2. Sphere of Significance	High	Medium	Low
International			
National			
Provincial			
Regional			
Local			
Specific community			
3. Field Register Rating			
1. National/Grade 1: High significance - No alteration whatsoever witho	ut permit fr	om SAHRA	

2.	Provincial/Grade 2: High significance - No alteration whatsoever without permit from provincial heritage authority.	
3.	Local/Grade 3A: High significance - Mitigation as part of development process not advised.	
4.	Local/Grade 3B: High significance - Could be mitigated and (part) retained as heritage register site	
5.	Generally protected 4A: High/medium significance - Should be mitigated before destruction	
6.	Generally protected 4B: Medium significance - Should be recorded before destruction	
7.	Generally protected 4C: Low significance - Requires no further recording before destruction	

# 2.2 Significance of the anticipated impact on heritage resources

All impacts identified during the HIA stage of the study will be classified in terms of their significance. Issues would be assessed in terms of the following criteria:

### Nature of the impact

A description of what causes the effect, what will be affected and how it will be affected.

### **Extent**

The physical **extent**, wherein it is indicated whether:

- 1 The impact will be limited to the site;
- 2 The impact will be limited to the local area;
- 3 The impact will be limited to the region;
- 4 The impact will be national; or
- 5 The impact will be international.

### **Duration**

Here it should be indicated whether the lifespan of the impact will be:

- 1 Of a very short duration (0–1 years);
- 2 Of a short duration (2-5 years);
- 3 Medium-term (5–15 years);
- 4 Long term (where the impact will persist possibly beyond the operational life of the activity); or
- 5 Permanent (where the impact will persist indefinitely).

## Magnitude (Intensity)

The magnitude of impact, quantified on a scale from 0-10, where a score is assigned:

- 0 Small and will have no effect;
- 2 Minor and will not result in an impact;
- 4 Low and will cause a slight impact;
- 6 Moderate and will result in processes continuing but in a modified way;
- 8 High, (processes are altered to the extent that they temporarily cease); or
- 10 Very high and results in complete destruction of patterns and permanent cessation of processes.

## **Probability**

This describes the likelihood of the impact actually occurring and is estimated on a scale where:

- 1 Very improbable (probably will not happen);
- 2 Improbable (some possibility, but low likelihood);
- 3 Probable (distinct possibility);
- 4 Highly probable (most likely); or
- 5 Definite (impact will occur regardless of any prevention measures).

### Significance

The significance is determined through a synthesis of the characteristics described above (refer to the formula below) and can be assessed as low, medium or high:

- $S = (E+D+M) \times P$ ; where
- S = Significance weighting
- E = Extent
- D = Duration
- M = Magnitude
- P = Probability

Significance of impact			
Points	Significant Weighting	Discussion	
< 30 points	Low	Where this impact would not have a direct influence on the decision to develop in the area.	
31-60 points	Medium	Where the impact could influence the decision to develop in the area unless it is effectively mitigated.	
> 60 points	High	Where the impact must have an influence on the decision process to develop in the area.	

# Confidence

This should relate to the level of confidence that the specialist has in establishing the nature and degree of impacts. It relates to the level and reliability of information, the nature and degree of consultation with I&AP's and the dynamic of the broader socio-political context.

- High, where the information is comprehensive and accurate, where there has been a high degree of consultation and the socio-political context is relatively stable.
- Medium, where the information is sufficient but is based mainly on secondary sources, where there has been a limited targeted consultation and socio-political context is fluid.
- Low, where the information is poor, a high degree of contestation is evident and there is a state of socio-political flux.

# Status

• The status, which is described as either positive, negative or neutral.

# Reversibility

• The degree to which the impact can be reversed.

## Mitigation

• The degree to which the impact can be mitigated.

Nature:		
	Without mitigation	With mitigation
Construction Phase		
Probability		
Duration		
Extent		
Magnitude		
Significance		
Status (positive or negative)		
Operation Phase		
Probability		
Duration		
Extent		
Magnitude		-

Significance	
Status (positive or negative)	
Reversibility	
Irreplaceable loss of resources?	
Can impacts be mitigated	

## 3. Mitigation measures

 Mitigation: means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

Impacts can be managed through one or a combination of the following mitigation measures:

- Avoidance
- Investigation (archaeological)
- Rehabilitation
- Interpretation
- Memorialisation
- Enhancement (positive impacts)

For the current study, the following mitigation measures are proposed, to be implemented only if any of the identified sites or features are to be impacted on by the proposed development activities:

- (1) Avoidance/Preserve: This is viewed to be the primary form of mitigation and applies where any type of development occurs within a formally protected or significant or sensitive heritage context and is likely to have a high negative impact. This measure often includes the change / alteration of development planning and therefore impact zones in order not to impact on resources. The site should be retained *in situ* and a buffer zone should be created around it, either temporary (by means of danger tape) or permanently (wire fence or built wall). Depending on the type of site, the buffer zone can vary from
  - 10 metres for a single grave, or a built structure, to
  - o 50 metres where the boundaries are less obvious, e.g. a Late Iron Age site.
- (2) Archaeological investigation/Relocation of graves: This option can be implemented with
  additional design and construction inputs. This is appropriate where development occurs in a
  context of heritage significance and where the impact is such that it can be mitigated. Mitigation
  is to excavate the site by archaeological techniques, document the site (map and photograph) and
  analyse the recovered material to acceptable standards. This can only be done by a suitably
  qualified archaeologist.
  - This option should be implemented when it is impossible to avoid impacting on an identified site or feature.
  - This also applies for graves older than 60 years that are to be relocated. For graves younger than 60 years a permit from SAHRA is not required. However, all other legal requirements must be adhered to.
    - Impacts can be beneficial e.g. mitigation contribute to knowledge
- (3) Rehabilitation: When features, e.g. buildings or other structures are to be re-used. Rehabilitation is considered in heritage management terms as an intervention typically involving the adding of a new heritage layer to enable a new sustainable use.
  - The heritage resource is degraded or in the process of degradation and would benefit from rehabilitation.
  - Where rehabilitation implies appropriate conservation interventions, i.e. adaptive reuse, repair and maintenance, consolidation and minimal loss of historical fabric.

- Conservation measures would be to record the buildings/structures as they are (at a particular point in time). The records and recordings would then become the 'artefacts' to be preserved and managed as heritage features or (movable) objects.
- This approach automatically also leads to the enhancement of the sites or features that are re-used.
- (4) Mitigation is also possible with additional design and construction inputs. Although linked to the previous measure (rehabilitation) a secondary though 'indirect' conservation measure would be to use the existing architectural 'vocabulary' of the structure as guideline for any new designs.
  - The following principle should be considered: heritage informs design.
    - This approach automatically also leads to the enhancement of the sites or features that are re-used.
- (5) No further action required: This is applicable only where sites or features have been rated to be of such low significance that it does not warrant further documentation, as it is viewed to be fully documented after inclusion in this report.
  - Site monitoring during development, by an ECO or the heritage specialist are often added to this recommendation to ensure that no undetected heritage/remains are destroyed.

### 4. Management Plan: Burial Grounds and Graves, with reference to general heritage sites

### 1. Background

Burial grounds and graves are viewed as having high emotional and sentimental value and accordingly always carry a high cultural heritage significance rating. Best practice principles dictate that they should preferably be preserved *in situ*. It is only when it is unavoidable and the site cannot be retained, that the graves should be exhumed and relocated after all due processes had been successfully implemented.

For retaining the burial sites and graves, the SAHRA Burial Grounds and Graves (BGG) unit requires a detailed Heritage Management Plan (HMP) clearly outlining a grave management plan that provides details of grave management and access protocols. In addition, the HMP should also provide detailed change finds protocol or procedures in the case of the identification human remains.

The primary aim of the Burial Grounds and Graves Management Plan therefore is to assist in the implementation of mitigation measures to reduce potential negative impacts through the modification of the proposed project development design.

## 2. Legal Implications

South Africa's unique and non-renewable archaeological and palaeontological heritage sites, inclusive of burial grounds and graves, are 'generally' protected in terms various laws and by-laws:

- Nationally: National Heritage Resources Act, No. 25 of 1999;
- Provincially: KwaZulu-Natal Heritage Act, No. 4 of 2008.

In addition, the following also refer specifically to burial grounds and graves:

- Human Tissue Act, No. 65 of 1983;
- Section 46 of the National Health Act, No. 61 of 2003;
- Removal of Graves and Dead Bodies Ordinance (Ordinance No. 7 of 1925)
- By-laws:
  - o R363 of 2013: Regulations Relating to the Management of Human Remains
  - Local Authorities Notice 34 of 2017, Cemeteries, Crematoria and Funeral Undertakers By-Laws as per Provincial Gazette of 7 April 2017 No. 2800.

In terms of the National Heritage Resources Act, No. 25 of 1999, graves and burial grounds are divided into the following categories:

- Ancestral graves;
- Royal graves and graves of traditional leaders;
- Graves of victims of conflict;
- Graves of individuals designated by the Minister by notice in the Gazette;
- Historical graves and cemeteries; and
- Other human remains which are not covered in terms of the Human Tissue Act, 1983 (Act No. 65 of 1983);

For KwaZulu-Natal, the KwaZulu-Natal Heritage Act No. 4 of 2008, graves and burial grounds are divided into the following categories:

- Clause 34: Clause 34 seeks to generally protect, against damage or alteration, graves of victims of conflict
- Clause 35: Clause 35 seeks to generally protect, against damage or alteration, traditional burial places.

 Clause 40: Clause 40 seeks to give special protection to graves of members of the Royal Family listed in the schedule.

In terms of Section 36(3) of the National Heritage Resources Act, no person may, without a permit issued by the relevant heritage resources authority:

- Destroy, damage, alter, exhume or remove from its original position of otherwise disturb the grave of a victim of conflict, or any burial ground or part thereof which contains such graves;
- Destroy, damage, alter, exhume or remove from its original position or otherwise disturb any grave or burial ground older than 60 years which is situated outside a formal cemetery administered by a local authority; or
- Bring onto or use at a burial ground or grave referred to in paragraph (a) or (b) any excavation, or any equipment which assists in the detection or recovery of metals.

Marked graves younger than 60 years do not fall under the protection of the NHRA (Act No. 25 of 1999) with the result that exhumation, relocation and reburial can be conducted by a register undertaker. This will include logistical aspects such as social consultation, purchasing of plots in cemeteries, procurement of coffins, etc.

Marked graves older than 60 years are protected by the NHRA (Act No. 25 of 1999) an as a result an archaeologist must be in attendance to assist with the exhumation and documentation of the graves. Unmarked graves are by default regarded as older than 60 years and therefore also falls under the NHRA (Act No. 25 of 1999, Section 36).

For graves in KwaZulu-Natal permission is required as follows:

- Clause 34: Approval of the Council must first be sought;
- Clause 35: Approval of the Council must first be sought;
- Clause 40: Nothing is stated in the Act.

## 3. Management Plan

## 3.1 Definitions

Heritage Site Management: Heritage site management is the control of the elements that make up physical and social environment of a site, its physical condition, land use, human visitors, interpretation, etc. Management may be aimed at preservation or, if necessary, at minimizing damage or destruction or at presentation of the site to the public. A site management plan is designed to retain the significance of the place. It ensures that the preservation, enhancement, presentation and maintenance of the place/site is deliberately and thoughtfully designed to protect the heritage values of the place (from: SAHRA Site management plans: guidelines for the development of plans for the management of heritage sites or places).

*Mitigation:* means to anticipate and prevent negative impacts and risks, then to minimise them, rehabilitate or repair impacts to the extent feasible.

3.2 Heritage management plan (HMP)

### 3.2.1 Phase 1: Site identification and verification

This part of the process usually take place during the Phase 1 heritage impact assessment and is discussed in Section 7 of the main body of the HIA.

Locality and identification:

- The location of the identified site (e.g. farm name, GPS coordinates) is given;
- Determination of the number of graves and the date range of the burials.

The physical condition of the site is also described in terms of:

- The condition of the burial grounds and graves, e.g. has the headstones been pushed over;
- The approximate number of graves and the date range of the graves;
- Is the site fenced off;
- Is there access to the site, in the case it is fenced off;
- Has the site recently been visited by next of kin or other individuals;
- The status of the vegetation cover on the site.

## 3.2.2 Phase 2: Determination of the potential impact on the identified sites

# Identified impacts on the graves and burial sites are calculated and discussed in Section 8.1 of the main body of the HIA.

The second phase consists of information that should be collected in order to develop the conservation management plan. This includes:

- The needs of the client;
- External needs, i.e. the next of kin;
- Requirements for the maintenance of the cultural significance.

From the above an evaluation is made of the impact of the proposed development project on the status of each of the identified burial grounds and graves.

## 3.2.3 Phase 3: Mitigation measures

# Proposed mitigation measures for each identified burial ground or graves are developed and is discussed in the main body of the HIA (Section 8.2).

The main aim of the mitigation measures, as far as is feasible, is to remove any physical, direct impacts on the burial grounds and graves.

- A minimum buffer of 20m must be established around known burial grounds and graves for the
  duration of the mining/construction phase. This is relevant where the burial site has been static for
  a considerable period of time and has already been fenced off;
- In cases the burial site is still in use and might expand in the future and is not fenced off, a minimum buffer of 100m should be implemented;
- In the case where blasting takes place during mining activities, the buffers should increase correspondingly to 200m;
- The buffers must be clearly demarcated, and signage placed during the construction/mining period;
- Access to the graves should be allowed to the descendants. However, they should adhere to the
  managing authorities' conditions regarding permissions, appointments, health, environment and
  safety.
- The areas with graves should be kept clean and the grass short so that visitors may enter it without any concerns.
  - However, this might create problems as in many cases not all graves are well-marked, carrying the possibility that they might inadvertently be damaged and therefore contractors/landowners might not be will to accept this responsibility. The descendants should therefore be held responsible for the maintenance of the site.

- Sites that are located close to access/haul roads might need additional mitigation. All personnel and especially drivers of heavy haul vehicles should be informed where these sites are, and they should keep to the speed limits (usually 30km/h on mining sites);
- Any change in the development layout, future development plans, condition of the grave sites and individual graves should immediately be reported to the heritage inspector/SAHRA for guidance;
- Relevant strategies should be put in place for the managing of the burial grounds and graves after
  the closure of the mine or the completion of the project. It needs to be stated that the land-owner
  or developer always will be responsible for the preservation of the site. Therefore, measures
  should be put in place to ensure that the site is handled appropriately after closure, which, in
  essence would entail the continuation measures already put in place;

## 3.3 Management strategy

A general approach to this is set out in Section 9 of the main body of the HIA report and is equally applicable to general heritage sites and feature as well as to burial grounds and graves.

A strategy for the implementation of the conservation plan is developed:

- A heritage practitioner should be appointed to develop a heritage induction program and conduct training for the ECO, as well as team leaders, in the identification of heritage resources and artefacts;
- Known sites must be demarcated and fenced off and signage placed during the construction/mining period;
- This management strategy should be applicable to the construction, operation as well as the post operation phases of the development/mining activities.
- Relevant strategies should be put in place for the managing of the burial grounds and graves after
  the closure of the mine or the completion of the project. It needs to be stated that the land-owner
  or developer always will be responsible for the preservation of the site. Therefore, measures
  should be put in place to ensure that the site is handled appropriately after closure, which, in
  essence would entail the continuation measures already put in place;
- The managing authority should be able to regularly inspect the sites in order to ensure that construction and other such activities do not damage the graves;
  - SAHRA and the relevant PHRA are the competent authorities responsible for the regulation of the HMP in terms of the national legislative framework. The NHRA states:
    - 36(1) Where it is not the responsibility of any other authority, SAHRA must conserve and generally care for burial grounds and graves protected in terms of this section, and it may make the necessary arrangement for their conservation as they see fit.

### 4. Relocation of graves

Once it has been decided to relocate particular graves, the following steps should be taken:

- Notices of the intention to relocate the graves need to be put up at the burial site for a period of 60 days. This should contain information where communities and family members can contact the developer/archaeologist/public-relations officer/undertaker. All information pertaining to the identification of the graves needs to be documented for the application of a SAHRA permit. The notices need to be in at least 3 languages, English, and two other languages. This is a requirement by law.
- Notices of the intention needs to be placed in at least two local newspapers and have the same information as the above point. This is a requirement by law.
- Local radio stations can also be used to try contact family members. This is not required by law, but is helpful in trying to contact family members.

- During this time (60 days) a suitable cemetery need to be identified close to the development area or otherwise one specified by the family of the deceased.
- An open day for family members should be arranged after the period of 60 days so that they can gather to discuss the way forward, and to sort out any problems. The developer needs to take the families requirements into account. This is a requirement by law.
- Once the 60 days has passed and all the information from the family members have been received, a permit can be requested from SAHRA. This is a requirement by law.
- Once the permit has been received, the graves may be exhumed and relocated.
- All headstones must be relocated with the graves as well as any items found in the grave.

Information needed for the SAHRA permit application:

- The permit application needs to be done by an archaeologist.
- A map of the area where the graves have been located.
- A survey report of the area prepared by an archaeologist.
- All the information on the families that have identified graves.
- If graves have not been identified and there are no headstones to indicate the grave, these are then unknown graves and should be handled as if they are older than 60 years. This information also needs to be given to SAHRA.
- A letter from the landowner giving permission to the developer to exhume and relocate the graves.
- A letter from the new cemetery confirming that the graves will be reburied there.
- Details of the farm name and number, magisterial district and GPS coordinates of the gravesite.

# 5. Defining next of kin

An extensive Burial Grounds and Graves Consultation process must be implemented in accordance with NHRA Regulations to identify bona fide next of kin and reach agreement regarding relocation of graves.

Anthropologically speaking three type of kin are distinguished: patrilineal (called *agnates*), maternal (*uterine* kin) and kin by marriage (*affines*). All three categories have their important part to play in social life

In terminologies used in the west the close-knit group of family members is clearly marked off from other kin - family terms, such as 'father', 'mother', 'brother' and 'sister' are never used for aunts, uncles and cousins.

In many non-western societies this is not the case and the family is merged with the wider group of kin and the family terms are applied much more widely. Next of kin for the Southern Bantu-language speakers is based on a classificatory system where a man uses a term to refer to three significant relatives – his father, his father's brother and his mother's brother.

For example, a man (A) may call his father's brother (i.e. uncle) also a father. All of that latter person's children will then also be called his (A) brothers and sisters, prohibiting him from marrying any of them (however, *vide* preferred marriages). In Anthropology this system is referred to as the Iroquois system (with reference to the North American Indian tribe where it was first described). When a man calls his father's brother 'father' a suffix is usually added to indicate whether he is an elder or junior brother (e.g. (*ra*)*mogolo* = elder brother; (*ra*)*ngwane* = junior brother; also (*ra*)*kgadi* = younger sister; (*ma*)*lome* = mother's brother)(SePedi terminology is used).

Consultants having to relocate graves might find it confusing if they do not have insight into this complex system of kinship, where, for example a single individual can have more than one father or mother.

### 5. Chance find procedures

A general approach to this is set out in Section 9 of the main body of the HIA report and is equally applicable to general heritage sites and features as to burial grounds and graves.

- A heritage practitioner should be appointed to develop a heritage induction program and conduct training for the ECO, as well as team leaders, in the identification of heritage resources and artefacts;
- An appropriately qualified heritage consultant should be identified to be called upon if any possible heritage resources or artefacts are identified;
- Should an archaeological site or cultural material be discovered during construction (or operation),
   the area should be demarcated, and construction activities be halted;
- The qualified archaeologist will then need to come out to the site and evaluate the extent and importance of the heritage resources and make the necessary recommendations for mitigating the find and impact on the heritage resource;
- The contractor therefore should have some sort of contingency plan so that operations could move elsewhere temporarily while the material and data are recovered;
- Should the heritage consultant conclude that the find is a heritage resource protected in terms of the NHRA (1999) Sections 34, 35, 37 and NHRA (1999) Regulations (Regulation 38, 39, 40), he or she should notify SAHRA and/or the relevant PHRA;
- Based on the comments received from SAHRA and/or the PHRA, the heritage consultant would
  present the relevant terms of reference to the client for implementation;
- Construction/Operational activities can commence as soon as the site has been cleared and signed off by the archaeologist.

### 6. Curriculum vitae

## Johan Abraham van Schalkwyk

## **Personal particulars**

Date of birth: 14 April 1952 Identity number: 520414 5099 08 4 Marital status: Married; one daughter

Nationality: South African

### **Current address: home**

62 Coetzer Ave, Monument Park, Pretoria, 0181

Mobile: 076 790 6777; E-mail: jvschalkwyk@mweb.co.za

## Qualifications

1995	DLitt et Phil (Anthropology), University of South Africa
1985	MA (Anthropology), University of Pretoria
1981	BA (Hons), Anthropology, University of Pretoria
1979	Post Graduate Diploma in Museology, University of Pretoria
1978	BA (Hons), Archaeology, University of Pretoria
1976	BA, University of Pretoria

## Non-academic qualifications

12th HSRC-School in Research Methodology - July 1990
Dept. of Education and Training Management Course - June 1992
Social Assessment Professional Development Course - 1994
Integrated Environmental Management Course, UCT - 1994

### **Professional experience**

**Private Practice** 

2017 - current: Professional Heritage Consultant

## National Museum of Cultural History

- 1992 2017: Senior researcher: Head of Department of Research. Manage an average of seven researchers in this department and supervise them in their research projects. Did various projects relating to Anthropology and Archaeology in Limpopo Province, Mpumalanga, North West Province and Gauteng. Headed the Museum's Section for Heritage Impact Assessments.
- 1978 1991: Curator of the Anthropological Department of the Museum. Carried out extensive fieldwork in both anthropology and archaeology

# Department of Archaeology, University of Pretoria

1976 - 1977: Assistant researcher responsible for excavations at various sites in Limpopo Province and Mpumalanga.

# Awards and grants

- 1. Hanisch Book Prize for the best final year Archaeology student, University of Pretoria 1976.
- 2. Special merit award, National Cultural History Museum 1986.
- 3. Special merit award, National Cultural History Museum 1991.
- 4. Grant by the Department of Arts, Culture, Science and Technology, to visit the various African countries to study museums, sites and cultural programmes 1993.
- 5. Grant by the USA National Parks Service, to visit the United States of America to study museums, sites, tourism development, cultural programmes and impact assessment programmes 1998.
- 6. Grant by the USA embassy, Pretoria, under the Bi-national Commission Exchange Support Fund, to visit cultural institutions in the USA and to attend a conference in Charleston 2000.

7. Grant by the National Research Foundation to develop a model for community-based tourism - 2001. 8. Grant by the National Research Foundation to develop a model for community-based tourism - 2013. In association with RARI, Wits University.

#### **Publications**

Published more than 70 papers, mostly in scientifically accredited journals, but also as chapters in books.

### **Conference Contributions**

Regularly presented papers at conferences, locally as well as internationally, on various research topics, ranging in scope from archaeology, anthropological, historical, cultural historical and tourism development.

## **Heritage Impact Assessments**

Since 1992, I have done more than 2000 Phase 1 and Phase 2 impact assessments (archaeological, anthropological, historical and social) for various government departments and developers. Projects include environmental management frameworks, roads, pipeline-, and power line developments, dams, mining, water purification works, historical landscapes, refuse dumps and urban developments.

## **Latest publications**

Van Schalkwyk, J.A. 2020. A cognitive approach to ordering of the world: some case studies from the Sotho- and Tswana-speaking people of South Africa. In Whitley, D.S., Loubser, J.H.N. & Whitelaw, G. (eds.) *Cognitive Archaeology. Mind, Ethnography, and the Past in South African and Beyond.* London: Routledge. Pp. 184-200.

Namono, C. & Van Schalkwyk, J.A. 2020. Appropriating colonial dress in the rock art of the Makgabeng plateau, South Africa. In Wingfield, C., Giblin, J. & King, R. (eds) *The pasts and presence of art in South Africa: Technologies, Ontologies and Agents*. University of Cambridge: McDonald Institute for Archaeological Research. Pp. 51-62.